

PPE4103 –DIPLOMACY AND STATECRAFT (WI)

Dr. Herman T. Salton
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Chair of Politics, Philosophy and Economics (PPE)

1. CONVENOR

Email: herman.salton@auw.edu.bd
Office: H612
Office Hours: Sunday and Tuesday, 1.30pm-3.30pm
Please stick to these days and times. If you really cannot make them, please email me in advance to arrange an appointment. Thank you.
Classes: Sundays, 4.30pm-7.20pm, with break in between
Qualifications: JD (Trento), PhD (Auckland), MPhil (Oxford)
Areas of Expertise: International Relations
United Nations
International and Diplomatic History
Human Rights (especially racial and religious discrimination)
International Law (especially international organization)
Profile: Herman Tutehau Salton was educated at the universities of Trento, Auckland and Oxford. A Senior Fellow at the Ralph Bunche Institute for International Studies, City University of New York (CUNY), he was also a visiting scholar at Sciences-Po, Paris (France) and TUJ, Tokyo (Japan); a human rights officer at the Icelandic Human Rights Centre, Reykjavík (Iceland); and an Associate with the Under-Secretary-General for Political Affairs, United Nations Headquarters, New York City (USA). He publishes in the areas of international politics, international law, global history and human rights, and is fluent in English, French, Italian and Spanish.

2. TEACHING ASSISTANT

Name: Britt Zale
Email: britt.zale@auw.edu.bd
Office Hours: Tuesday 2pm-3:30pm and Wednesday 3:30pm-5 pm
Profile: Britt is a junior fellow at AUW. She comes to us from the United States, specifically its capital, Washington, DC, where she lived for nearly 10 years. In Washington, she studied international affairs at George Washington University with a regional focus on Asia. After graduating in 2009, she worked on federal environmental issues at a boutique law firm as both a paralegal and as the office administrator. She enjoys reading about the Cold War and current events in Asia and the Middle East, and recommends reading Foreign Affairs and anything by Steve Coll.

3. DESCRIPTION

This course introduces students to diplomacy as it is practiced today, while also providing a comprehensive exploration of the evolution and concept of statecraft. Although diplomacy is a multifaceted subject requiring a multitude of skills, the writing component is of critical importance. Diplomats need to be able to analyze a situation succinctly and effectively, for it is on the basis of their diplomatic briefs that the world's capitals make policy decisions. Through a variety of themes and examples from around the world, the course aims to cover as broad a spectrum as possible of diplomatic practice and analyzes the different skills needed in—and the many challenges posed by—crisis diplomacy, summit diplomacy, UN diplomacy, regional diplomacy, trade diplomacy, diplomatic negotiations, e-diplomacy and the role of foreign ministries. In all these contexts, the ability to write concise, in-depth and convincing memos is critical. Since this course targets students wishing to pursue a national (Foreign Ministry) or international (UN, ASEAN) career, it provides students with the practical tools and the analytical framework necessary to communicate effectively in the context of modern diplomacy. The subject of diplomacy is brought to life through case studies highlighting the working of diplomacy within the international arena. The writing intensive (WI) component of this course—and the related assignments—focuses on such case studies.

4. LEARNING OUTCOMES

By the end of the course, students should:

- Be familiar with the basic concepts and issues of diplomacy;
- Understand the different contexts in which diplomacy is used;
- Understand the role of national culture in shaping diplomacy;
- Be able to explain how diplomats come to their decisions;
- Be able to write diplomatic documents such as notes;
- Understand how decisions are made in the context of national diplomacy;
- Understand the role of power and law in modern diplomacy;
- Understand the changing role of the diplomat.

5. SCHEDULE

Week 1: Introduction

Class 1 – Introducing the Course (including WI component)

Class 2 – Introducing the Subject: Why Study Diplomacy and How?

Readings: No Readings

Week 2: What is Diplomacy?

Class 1 – The Changing Nature of Diplomacy

Readings: Barston, Chapter 1

Class 2 – The Diplomacy of ‘Great Powers’ (i): The USA

Readings: McKercher, Chapter 3

Week 3: Diplomacy and Foreign Policy

Class 1 – Foreign Policy Organization & Orientation

Readings: Barston, Chapter 2 & 3

Class 2 – The Diplomacy of ‘Great Powers’ (ii): The UK

Readings: McKercher, Chapter 4

Week 4: Diplomatic Correspondence

Class 1 – Diplomatic Correspondence

Readings: Barston, Chapter 4

Class 2 – The Diplomacy of ‘Great Powers’ (iii): Russia

Readings: McKercher, Chapter 5

Week 5: Diplomatic Negotiations

Class 1 – Bilateral & Multilateral Negotiations

Readings: Barston, Chapter 5

Class 2 – The Diplomacy of ‘Great Powers’ (iv): China

Readings: McKercher, Chapter 6

Week 6: Diplomatic Styles and Methods

Class 1 – Diplomatic Styles & Methods

Readings: Barston, Chapter 6

Class 2 – The Diplomacy of ‘Middle Powers’ (i): India

Readings: McKercher, Chapter 11

Week 7: Diplomatic Financial Relations

Class 1 – Trade, Foreign Policy and Diplomacy

Readings: Barston, Chapter 7 & 8

Class 2 – The Diplomacy of ‘Middle Powers’ (ii): Turkey

Readings: McKercher, Chapter 14

Week 8: Environmental Diplomacy

Class 1 – What is Environmental Diplomacy?

Readings: Barston, Chapter 9 & 10

Class 2 – The Diplomacy of ‘Middle Powers’ (iii): Brazil

Readings: McKercher, Chapter 10

Week 9: Diplomacy and Security

Class 1 – The Relationship between Diplomacy and Security

Readings: Barston, Chapter 11

Class 2 – The Diplomacy of ‘Middle Powers’ (iv): Canada

Readings: McKercher, Chapter 12

Week 10: Diplomacy and Mediation

Class 1 – Diplomacy and Mediation

Readings: Barston, Chapter 12

Class 2 – The Diplomacy of ‘Developing Powers’ (i): Thailand

Readings: McKercher, Chapter 18

Week 11: Diplomacy and Normalization

Class 1 – The Diplomacy of Normalization

Readings: Barston, Chapter 13

Class 2 – The Diplomacy of ‘Developing Powers’ (ii): Indonesia

Readings: McKercher, Chapter 19

Week 12: International Treaties

Class 1 – Treaties and Conventions

Readings: Barston, Chapter 14

Class 2 – The Diplomacy of ‘Developing Powers’ (iii): Nigeria

Readings: McKercher, Chapter 17

Week 13: International Agreements: Case Studies

Class 1 – Diplomatic Relations & Trade Agreements

Readings: Barston, Chapter 15

Class 2 – The Diplomacy of International Organizations (i): The EU

Readings: McKercher, Chapter 20

Week 14: Conclusion

Class 1 – The Evolving Role of Modern Diplomacy

Readings: Barston, Conclusion + TBA

Class 2 – The Diplomacy of International Organizations (ii): The UN

Readings: McKercher, Chapter 21

6. CLASS FORMAT

The course involves a mixture of lectures and discussions. All classes will be interactive: in my view, the role of the teacher is not to offer the right answers but to ask probing questions, especially in a course like this that deals with controversial topics that rarely have definitive answers. So it will not be enough to come to class: you will need to be proactive and participate in the discussion. Naturally some of you will be shyer than others, which is fine (the best students are not always the most talkative). But you do need to interact with your peers.

The subjects discussed are hotly debated and some of you will have strong opinions about them. Others will find the materials alien. Both situations are normal—diplomacy is a contested concept, which is why it is exciting. Yet this is also why I expect you to come to class having done the readings and ready to ask questions about materials or concepts that are unclear to you. I make a conscious effort to invite discussion, so I will raise provocative questions and you should be prepared to engage with them. The writing-intensive (WI) nature of the course means that special emphasis will be given to written instruction.

In the course of our discussions, it is imperative that you interact respectfully with your peers. As we will be talking about issues for which there are no easy answers, it is important that you understand that everyone comes to the class with different life experiences which shape their beliefs and with different levels of prior exposure to the ideas and events discussed in class. I will thus expect—and will not condone anything short of—an attitude of collegial respect.

7. TEXTBOOKS

The main texts from which readings will be taken are:

R. P. Barston
Modern Diplomacy
New York: Longman, 2007

B.C.J. McKercher (ed)
Handbook of Diplomacy and Statecraft
London: Routledge, 2012

Diplomacy is an evolving discipline and is subject to continuous changes. For this reason, some of the topics will require additional readings and you will be advised about this in the week prior to class. The changing nature of diplomacy and the lack of good literature on it means that some of the additional readings will be taken from the internet, hence the 'TBA' ('To Be Advised') symbol in the schedule. There is virtually no academic book on the written practice of diplomacy that is the focus of this course. Attendance is thus especially important since I will rely heavily—and will give practical examples of—my own diplomatic experience.

8. ASSESSMENT

- 1) Presentation (20%): This will be a short, 10-minute presentation that each of you will give to the class on an issue of diplomacy of your choice. This is your chance to explain the diplomatic aspects of a problem that you find intriguing. After the presentation, there will be a ten-minute Q&A session, i.e. the class and/or I will ask you questions. While some people are nervous about public speaking, this is a key part of your development and so the sooner you start, the better. As a result, do not be afraid—you are here to learn!

When: One presentation per class beginning from Week 3. You can sign up for a slot during Week 1 and 2.

- 2) Dipl. Note – 1st Draft (15%) A diplomatic note is a formal document setting out the terms of a specific issue and is produced and sent by the diplomat of one country to that of another. You will be given a choice of issues (ranging from the Syria and Palestinian crises, to the Ukraine) and you will also be assigned a specific country. On the basis of this, you will be asked to write a short document that will count as the first draft of your diplomatic note. More information and specific guidance on how to do so will be provided in due course.

Due Date: 21 September 2014

- 3) Dipl. Note – 2nd Draft (15%) Based on the feedback you will have received on the first draft of your diplomatic note, you will submit a revised version of that document which will take into account those comments (at the substantive as well as at the editorial level). Since improving your writing is one of the aims of this course, considerable emphasis will be put on the revision process, the aim being to create a final document that is both substantively and editorially sound.

Due Date: 12 October 2014

- 4) Dipl. Note – Final (20%) This will be the final version of your diplomatic note, which again will be written taking into account the feedback received in previous rounds of corrections. As this will be the final product, it carries more weight in terms of grading, in line with the writing intensive nature of this course.

Due Date: 2 November April 2014

5) Final Essay (20%) This two-hour in-class essay will test the depth and breadth of your knowledge about the topics covered in the course. Your abilities to analyse a number of diplomatic issues will be assessed. You will be given a choice of ten essay questions, of which you will answer two. This is a pre-seen assessment, so the ten questions will be emailed to you 24 hours before the scheduled class.

Date: 23 November 2014

6) Participation (10%) Your participation grade will depend on the regularity of your class attendance, your punctuality and your contribution to class debate (such as engaging with me when I ask questions and asking questions yourself when your colleagues present). Unjustified absences for more than three classes over the semester will result in penalties, though *bona-fide* absences will be condoned.

9. KEY DATES

Fall Break: 5 to 9 October 2014 (no classes)

Oral Presentations: One per class from Week 3 (sign up in Weeks 1 and 2)

Diplomatic Note - 1st Draft: 21 September 2014

Diplomatic Note - 2nd Draft: 12 October 2014

Diplomatic Note – 3rd and Final Version: 2 November 2014

Final Essay (In-Class): 23 November 2014

10. GRADING SCALE

97 to 100 = A+	87-89 = B+	77-79 = C+	67-69 = D+
93-96 = A	83-86 = B	73-76 = C	63-66 = D
90-92 = A-	80-82 = B-	70-72 = C-	60-62 = D-
			< 60 = F (Fail)

Please Note: While marks are important and you should strive to obtain the best possible grade, the way you study is even more important. In this course, factual knowledge is essential but insufficient to perform well; critical thinking is what will make the difference not only in terms of marks but also—and more importantly—in terms of your development. Consequently, the mere regurgitation and memorization of facts will not take you far—only the critical assessment of them will. What I am interested in is *your* approach to a problem. However, you will only be able to acquire this analytical capacity if you come to class, read widely and participate in the discussions. Remember: analytical reasoning without facts is impossible, but facts without any analytical framework are useless! Both are needed and will be required.

11. PLAGIARISM

Academic honesty is critically important and is expected of all of you at all times. For your information, definitions of and policies regarding academic honesty are detailed in the AUW Hand-out. Please read *carefully* the section on academic integrity on pages 13-16. Violations of academic honesty will result in failing grades for assignments and/or the entire course.

12. CLASS BEHAVIOUR

My assumption will be that each student in this class is motivated, intelligent and considerate of the opinions of others, and my expectations of you reflect this assumption.

I would like to ask you to please come to class on time and participate while you are here. Of course, sometimes circumstances prevent your timely arrival—in these cases, please enter quietly and sit by the door. If you need to leave early, please sit by the door and leave quietly. If you will frequently need to arrive late or leave early, please speak to me about it. I am committed to starting and ending every class exactly on time, so please do not prepare to leave before I have ended class. It is disrupting to your colleagues and to myself.

Also, please ensure that you do not read or sleep in class, nor talk to students around you without good reason. Please also be sure to turn off all phones and beepers. It is not appropriate to leave class in order to answer a call: if you feel that you have extenuating circumstances (e.g., a family emergency), please see me before class to discuss it.

I strongly encourage you to stop me during class if you have a question or relevant comment. If you feel uncomfortable talking during class, please approach me after class. It is my job to help you understand the material and I will do whatever I can to make sure that you do.

13. RELEVANT PUBLICATIONS

‘Multilateral Diplomacy’

‘Diplomatic History’

‘Global Governance’

‘Diplomatic Practice’

‘Economy and Society’

‘Foreign Affairs’

‘The Economist’

‘Survival’